

# Assessment of institutions involved in the management of EU funds by the respective key requirement

Annex 2.

Summary of Assessment of Key Requirement as at 31.12.2011.															
			KR 1	KR 2	KR 3	KR 4	KR 5	KR 6	KR 7	KR 2	KR 3	KR 4	KR 22	KR 25	KR 23
	EU planned allocated funding EUR	EU planned allocated funding %	Clear definition, allocation and separation of functions between and within the managing authority / intermediate body/ies	Adequate procedures for the selection of operations	Adequate information and strategy to provide guidance to beneficiaries	Adequate management verifications	Adequate audit trail	Reliable accounting, monitoring and financial reporting systems in computerised form	Necessary preventive and corrective action where systemic errors are detected by the audit (art 98.1 of R 1083 and art. 16.3 of R 1828) detected by the audit (art 98.1 of R 1083 and art. 16.3 of R 1828)	Adequate accounting records should be maintained in computerised form of expenditure declared to the Commission	Certifying Authority: Adequate arrangements for the certification of expenditure to be reliable and soundly based (art. 61 b.) c), d) of R 1083) soundly based	Certifying authority: Satisfactory arrangements for keeping an account of amounts recoverable and for recovery of undue payments (art. 61 f) of R 1083 and art. 20.2 of R 1828)	Audit authority: Adequate systems audits	Audit authority: Adequate audits of operations	Adequate annual control report and audit opinion
Overall assessment (01.07.2010.-30.06.2011.)															
Overall assessment (01.07.2009.-30.06.2010.)															
MA				N.A.		N.A.	N.A.			N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
IB	MoF	82 684 484	2.6%				N.A.	N.A.							
	MoEcon	513 824 854	16.3%				N.A.	N.A.							
	LIDA														
	MoT	838 782 330	26.5%												
	MoW	150 639 673	4.8%												
	SEA														
	MoH	154 236 973	4.9%												
	CHE														
	MEPRD														
	SRDA	862 980 661	27.3%												
	MoESc	522 031 945	16.5%				N.A.								
	SEDA														
	MoC	25 335 213	0.8%												
	SC	10 694 592	0.34%		N.A.										
	SIF														
	CFCA	539 804 156													
	Overall IB	3 161 210 725	100%												
CA				N.A.	N.A.	N.A.	N.A.		N.A.		N.A.	N.A.	N.A.	N.A.	N.A.
				N.A.	N.A.	N.A.	N.A.		N.A.				N.A.	N.A.	N.A.

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	▪ <b>1</b> – Works well; only minor improvements needed. There are no deficiencies or only minor deficiencies found. These deficiencies do not have any significant impact on the functioning of the key requirements / authorities / system.
	▪ <b>2</b> – Works, but some improvements are needed. Some deficiencies were found. These deficiencies have a moderate impact on the functioning of the key requirements / authorities / system.
	▪ <b>3</b> – Works partially; substantial improvements are needed. Deficiencies were found that have led or may lead to irregularities. The impact on the effective functioning of the key requirements / authorities / system is significant.
	▪ <b>4</b> – Essentially does not work. Numerous deficiencies were found which have led or may lead to irregularities. The impact on the effective functioning of the key requirements / authorities / system is significant – it functions poorly or does not function at all. The deficiencies are systemic and wide-ranging.
<b>N.A.</b>	▪ – not applicable